

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Steven W. Trovinger et al.

Confirmation No.: 9588

Application No.: 10/698,499

Examiner:
Patrick H. Mackey

Filing Date: Oct. 30, 2003

Group Art Unit: 3651

Title: METHOD AND APPARATUS FOR MAKING BOOKLETS

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- () under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97(c) together with either a:
 - () Statement under 37 CFR 1.97(e), or
 - (X) a \$180.00 fee under 37 CFR 1.17(p), or
 - (After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97 (d) together with a:
 - () Statement under 37 CFR 1.97(e)(1) or (2), and
 - () a \$180.00 fee set forth in 37 CFR 1.17(p).
 - (Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$180.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Date: 22 Dec 2004

Respectfully submitted,

Steven W. Trovinger et al.

By Patrick C. Keane
Patrick C. Keane
Attorney/Agent for Applicant(s)
Reg. No. 32,858

Reg No 50,891

I hereby certify that this document is being filed by personal delivery to the Customer Service Window, Crystal Plaza 2, 2011 South Clark Place, Arlington, Virginia, of the United States Patent & Trademark Office on the date indicated above.

By: Patrick C. Keane
(Attorney Signature and Reg. No.)

50,891

Date: Dec. 22, 2004

Telephone No.: (703) 836-6620

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10991471-12

APPLICATION NO.

10/698,499

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GROUP

3651

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	4,053,150	Oct. 11, 1977	Lane	
	1B	4,571,237	Feb. 18, 1986	Vogtlander	
	1C	4,595,187	Jun. 17, 1986	Bober	
	1D	4,643,705	Feb. 17, 1987	Bober	
	1E	4,891,681	Jan. 2, 1990	Fiske et al.	
	1F	5,087,163	Feb. 11, 1992	Erdbores et al.	
	1G	5,169,376	Dec. 8, 1992	Ries et al.	
	1H	5,465,213	Nov. 7, 1995	Ross	
	1I	5,779,232	Jul. 14, 1998	Ochsner	
	1J	5,913,625	Jun. 22, 1999	Trovinger et al.	
	1K	5,997,197	Dec. 7, 1999	Trovinger et al.	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	TROVINGER, U.S. Patent Application No. 10/621,438 filed July 18, 2003, entitled "Method and Device for Trimming Sheet Material".
1R	TROVINGER, U.S. Patent Application No. 10/681,151 filed October 9, 2003, entitled "Sheet Folding and Accumulation System for a Booklet Maker".
1S	TROVINGER, U.S. Patent Application No. 10/681,315 filed October 9, 2003, entitled "Sheet Folding and Trimming Apparatus".

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	2A	6,099,225	Aug. 8, 2000	Allen et al.	
	2B	6,120,427	Sep. 19, 2000	Haan et al.	
	2C	6,193,458 B1	Feb. 27, 2001	Marsh	
	2D	6,334,723 B1	Jan. 1, 2002	Trovinger et al.	
	2E	6,363,851 B1	Apr. 2, 2002	Gerhard et al.	
	2F	2002/0168247	Nov. 14, 2002	Trovinger et al.	
	2G	2003/0161704	Aug. 28, 2003	Trovinger	
	2H	2003/0069118	Apr. 10, 2003	Trovinger et al.	
	2I	2003/0069119	Apr. 10, 2003	Trovinger et al.	
	2J	6,550,756 B2	Apr. 22, 2003	Trovinger	
	2K	6,554,267 B2	Apr. 29, 2003	Trovinger	

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	2L					
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	3A	6,578,838 B2	Jun. 17, 2003	Trovinger et al.	
	3B	2003/0161705	Aug. 28, 2003	Trovinger	
	3C	2003/0162644	Aug. 28, 2003	Trovinger	
	3D	6,619,900 B2	Sep. 16, 2003	Cobene, II et al.	
	3E	6,632,061 B2	Oct. 14, 2003	Trovinger	
	3F	6,673,002 B2	Jan. 6, 2004	Trovinger et al.	
	3G	6,682,285 B2	Jan. 27, 2004	Trovinger et al.	
	3H	2004/0048728	Mar. 11, 2004	Trovinger et al.	
	3I	6,705,786 B2	Mar. 16, 2004	Trovinger	
	3J	6,708,967 B1	Mar. 23, 2004	Trovinger et al.	
	3K	2004/0063560	Apr. 1, 2004	Trovinger	

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	4A	6,715,749 B2	Apr. 6, 2004	Trovinger	
	4B	2004/0089999	May 13, 2004	Trovinger et al.	
	4C	2004/0091336	May 13, 2004	Trovinger	
	4D	2004/0092377	May 13, 2004	Trovinger	
	4E	2004/0094884	May 20, 2004	Trovinger et al.	
	4F	2004/0094891	May 20, 2004	Trovinger et al.	
	4G	6,796,554 B2	Sep. 28, 2004	Trovinger	
	4H	2004/0188910	Sep. 30, 2004	Trovinger et al.	
	4I	6,808,479 B2	Oct. 26, 2004	Trovinger et al.	
	4J	2004/0239027	Dec. 2, 2004	Trovinger et al.	
	4K				

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